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	Son, Eric R.	"EXPRESS MAIL" (37 CFR 1.1	0)		cket No. 274.175
Applicant(s). Lai	SOII, LIIC IX.				
Application No. 10/761,106	Filing Date 01/20/2004	<b>Examiner</b> unknown	Customer No 022913		Group Art Un 2633
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# STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

**Docket No.** 16274.175

In	Re	Ap	pli	cati	ion	Of	:

Larson, Eric R.

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
10/761,106	01/20/2004	unknown	022913	2633	3129

Title: OIPE

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ATSINKING OF OPTICAL SUBASSEMBLY AND METHOD OF ASSEMBLING

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## **COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

## Statement Under 37 CFR 1.97(e)(1)

1. Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

## Statement Under 37 CFR 1.97(e)(2)

2. No item of information contained in the accompanying Information Disclosure Statemtn was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Dated: January 5, 2006

Signature

Eric L. Maschoff Attorney for Applicant Registration No. 36,596 Customer No. 022913 Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

January <u>,</u> 2006

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Gina Jensen

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PATENT APPLICATION Docket No: 16274.175

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Larson, Eric R.	)
Serial No.:	10/761,106	) Art Uni ) 2633
Confirmation No.:	3129	) 2033
Filed:	January 20, 2004	)
For:	HEATSINKING OF OPTICAL SUBASSEMBLY AND METHOD OF ASSEMBLING	)
Customer No.:	022913	)

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of the listed non-U.S. patent references or

relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control

or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also

enclosed.

STATEMENT UNDER 37 CFR 1.97(e)(1)

Each item of information contained herein was first cited in a communication from a foreign patent

office in a counterpart foreign application not more than three months prior to the filing of this information

disclosure statement.

This Information Disclosure Statement is being filed within three months of the filing date of the

Application or before a first Office Action on the merits has been received, therefore, no fee is believed to be

due. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the

undersigned.

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DATED: January <u>5</u>, 2006.

Respectfully submitted,

Eric L. Maschoff

Attorney for Applicant

Registration No. 36,596

Customer No. 022913

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Docket number (Optional)

10/761,106

Application Number (Optional)

16274.175

Applicant(s)

Larson, Eric R.

Filing Date

01/20/2004

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*EXAMINE R INITIAL	REF	DOCUMENT DATE NAME		NAME		FILING DATE IF APPROPRIATE	
	1	4547039	10/15/85	Caron, et al.			
	2	5032898	07/16/91	Bowen, et al.			
	3	6200041	03/13/01	Gaio, et al.	Gaio, et al.		
	4	6445475	09/03/02	· Okubora Akihiko,	et al.		
*EXAMINE R INITIAL	REF	DOCUMENT NUMBER		DATE	DATE COUNTRY		
' KINITIAL	5	0678765		10/25/95		Europe	
	6	1227349		07/31/02		Europe	
Ų	7	1237025		09/04/02		Europe	
	8	56030111		03/26/81		Japan (abstract)	
EXAMINER				DATE CONSIDI	ERED		

Draw line through citation if not in conformance and not considered. Include copy of this form with next

communication to applicant.